

05-14-91

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05/10/01  
J1046 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

J1046 U.S. PTO  
09/854311  
05/10/01

Booklet No. : 16224-14/NEC  
Inventor(s) : Joseph Neev, Ph.D.  
Title : OPTO - THERMAL MATERIAL MODIFICATION

Express Mail Label No. : EL599668233US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: May 10, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).
2. ☒ Applicant claims small entity status under 37 CFR §1.27.
3. **IF A CONTINUING APPLICATION**  
\_\_\_\_ This application is a [divisional/cont. or continuation-in-part] of patent application No. \_\_\_\_\_.  
**A copy of this form is enclosed for filing in the prior application.**  
☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/203,647, filed May 12, 2000.

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**4. APPLICATION COMPRISED OF**

- (a) Specification  
107 Specification, claims and Abstract (total pages)
- (b) Drawings  
31 Sheets of drawing(s) (FIGS. 100A to 7F)
- (c) Declaration and Power of Attorney  
☒ Newly executed  
\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))  
(for continuation and divisional)  
\_\_\_\_ An **unsigned** declaration
- (i) \_\_\_\_ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d) and 1.33(b))

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No.: 16224-14/NEC

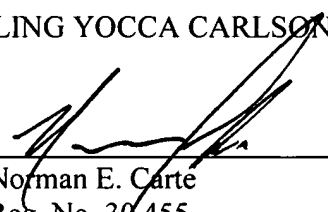
5. ☐ **Microfiche Computer Program** (*Appendix*)
6. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)
- ☐ Computer Readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies
7. **ALSO ENCLOSED ARE**
- ☐ Preliminary Amendment
- ☐ Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ 37 CFR 3.73(b) Statement (where there is an Assignee)
- ☐ This application is owned by pursuant to an Assignment recorded at Reel \_\_, Frame \_\_
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ **Request and Certification** under 35 U.S.C. 122(b)(2)(B)(i) form PTO/SB/35 or its equivalent is attached.
- ☐ Other
- ☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

**8. CORRESPONDENCE ADDRESS**

Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP Department,**  
**P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California 92660-6441.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By  \_\_\_\_\_  
Norman E. Carte  
Reg. No. 30,455  
(949) 737-4703

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: May 10, 2001**

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Inventor(s) : Joseph Neev, Ph.D.  
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<b>FEE CALCULATIONS</b>					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	20 - 20 = 0	0	0 x \$9.00	\$0.00
B	INDEPENDENT CLAIMS	1 - 3 =	0	0 x \$40.00	\$0.00
C	SUBTOTAL	SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 X (A + B)			\$0.00
D	BASIC FEE	SMALL ENTITY FEE = \$355.00 LARGE ENTITY FEE = \$710.00			\$355.00
E	MULTIPLE-DEPENDENT CLAIMS FEE	SMALL ENTITY FEE = \$135.00 LARGE ENTITY FEE = \$270.00			
TOTAL FILING FEE (ADD LINES C, D, AND E)					\$355.00
List Independent Claims: 1					

**METHOD OF PAYMENT**

☒ Payment Enclosed: Check for \$355.00

☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

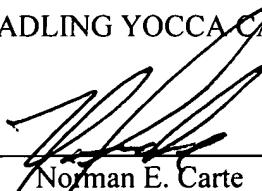
☐ No payment is enclosed.

☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

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Norman E. Carte  
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